IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: C. Keith Attorney Docket No.: 125462

Application No.: 09/801848 Art Unit: 3693 / Confirmation No.: 1156

Filed: March 8, 2001 Examiner: K. Bartley

Title: PLATFORM FOR MARKET PROGRAMS AND TRADING PROGRAMS

AMENDMENT AFTER NOTICE OF ALLOWANCE (RULE 312)

Seattle, Washington 98101

November 10, 2010

TO THE COMMISSIONER FOR PATENTS:

INTRODUCTORY COMMENTS

Please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of the claims, which begins on page 2 of this paper.

Remarks/Arguments begin on page 22 of this paper.